Doc Code: AP.PRE.REO

PTO/SB/33 (07-05) Approved for use through xx/xx/200x, OMB 0651-00xx U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Papervork Reduction Act of 1995, no persons are required to respon	id to a collection	of information unless it di	splays a valid	JMB control number.	
PRE-APPEAL BRIEF REQUEST FOR REVIEW		Docket Number (Optional)			
		2000P00021US01 (S20.011)			
I hereby certify that this correspondence is being deposited with the	Application Number		Filed		
United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)]	09/973,572		10/09/2001		
on	First Named Inventor				
Signature	Yaniv Gvily				
	Art Unit Examiner				
Typed or printed name	3692	С	lement	B. Graham	
Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request.  This request is being filed with a notice of appeal.					
The review is requested for the reason(s) stated on the attached sheet(s).  Note: No more than five (5) pages may be provided.					
applicant/inventor.	/Patrick J. Buckley/				
	Signature				
assignee of record of the entire interest.  See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)	Patrick J. Buckley Typed or printed name				
attorney or agent of record. Registration number 40,928	(203) 972~0191 Telephone number				
		relepite	are minaci		
attorney or agent acting under 37 CFR 1 34.  Registration number if acting under 37 CFR 1 34.	_ <u>Se</u>	otember 6,	2007 Date		
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.					
*Total of forms are submitted.				1	

This collection of information is required by 35 U.S.C. 132. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to proceed) an application. Collectionality a generated to 15 U.S.C. 122 and 37 C.F.F. 13. 1.14 and 41 U.S. The indiscion is sentimated to lake 1.2 entimes to complete proceding gethering, prespiring, and submitting the completed application from to the USPTO. There will vary depending upon dividual cases. Any comments on the amount of time you require to complete this complete for retaining this burden, should be sent to the Chel Information Officer. U.S. Patent and Trademark Officer. U.S. Opparities for Commerce, P.O. Son 1450, Association, V. 22313-1450. DO NOT SEND FOR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop AF, Commissioner for Patents, P.O. Box 1459, Alexandria, VA 22313-1459.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicated is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement neototations.
- A 'record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record petation, when the individual has requested assistance from the Member with respect to the subject matter of the
- A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patient pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patient.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

) (	Confirmation No. 6034
(	Group Art Unit: 3692
Applicant: GVILY	Examiner: Clement B. Graham
	Reason(s) For Requesting a Pre-Appeal Brief Review
Filing Date: 10/9/2001	
) 1	Docket No.: 2000P00021US01 (S20.011)
For: USER IMPERSONATION BY A )	
PROXY SERVER )	PTO Customer Number 52025
) !	SAP AG c/o
) 1	Buckley, Maschoff & Talwalkar LLC
) :	50 Locust Avenue
) 1	New Canaan, CT 06840

Mail Stop AF (via EFS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

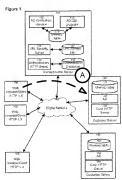
Applicants respectfully request a Pre-Appeal Brief Review of the reason(s) that begin on page 2 of this paper.

## REASON(S) FOR REQUESTING A PRE-APPEAL BRIEF REVIEW

The pending claims stand finally rejected under 35 U.S.C. 102 as being anticipated by Patent No. 6,092,196 ("Reiche"). Because Reiche fails to disclose or suggest a feature recited in all of the pending claims, reversal of the final rejection is respectfully requested.

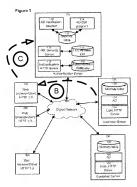
Claim 1 as previously presented recites that an HTTP request is sent from a web browser to a "proxy server" located between the browser and a resource associated with the request. The request includes a script identifier which is extracted at the proxy server and used to locate a script. The proxy server executes the script, generates a result, and transmits the result back to the browser.

Reiche fails to disclose such features. Instead, Reiche is directed to a system wherein a user at a client device accesses a resource located on a customer server. Initially, as illustrated by dashed line (A) added to FIG. 1 of Reiche reproduced herein, a client device 100 sends a URL to a customer server 120 associated with the resource (col. 8, lines 47-52):



The customer server 120 then provides the client browser 100 at (B) with a re-direct URL pointing to an authentication server 110 (col. 9, lines 1-11). The authentication server 110

receives at (C) the re-direct request from the client browser 100 and a Common Gateway Interface (CGI) program 114 stored at the authentication 110 server is executed (col. 9, lines 15-25):



Once authenticated, the client device 100 is allowed retrieve the resource from the customer server 110.

Thus, Reiche does not disclose or suggest a "proxy server ... disposed between the web browser and a resource" as recited in claim 1. That is, the customer server 120 (as well as the components of the customer server 120) do not act as a "proxy" for another server. Similarly, the authentication server 110 does not act as a "proxy" for the customer server 120 or any other server. Applicant respectfully asks the Examiner to point out which device in Reiche is considered a "proxy server" as recited in claim 1.

Nor does Reiche disclose or suggest "extracting, at the proxy server, the script identifier from the HTTP request," "searching a database for the script associated with the script identifier," and then "executing, at the proxy server, the script." Instead, the authentication server 110 always executes the same Common Gateway Interface (CGI) program 114.

Finally, Applicant notes that page 12 of the Final Office Action sets forth a generic definition of the phrase "proxy server" along with the simple conclusion that "It is inherently

Application No. 10/674,886 Reason(s) For Requesting a Pre-Appeal Brief Review

clear the Applicant's claimed limitations were addressed within the teachings of Reiche." Applicant respectfully submits that these statements, along with the impercise nature of the rejections, do not designate the particular part of the information being relied on as nearly as practicable nor do they provide a clear explanation of the pertinence of the information in connection with each rejected claim. MPEP 706, 37 CFR 1.104.

For all of these reasons, Applicant respectfully requests that the rejection of claim 1 be reconsidered. The remaining claims either depend from claim 1 or contain limitations similar to at least some of those discussed herein with respect to claim 1, and thus reconsideration of all the rejections is respectfully requested.

Additionally, claim 2 specifically recites that "the proxy server is a gateway to the Internet for a user of the web browser." Since neither the customer server 120 nor authentication server 110 disclosed in Reiche can remotely be considered a "gateway" to the digital network 160 of FIG. 1, this is an additional reason why Applicant respectfully requests reconsideration of the rejection of claim 2.

Accordingly, Applicant respectfully requests allowance of the pending claims. If any issues remain, or if the Examiner has any further suggestions for expediting allowance of the present application, the Examiner is kindly invited to contact the undersigned via telephone at (203) 972-0191.

Respectfully submitted,

September 6, 2007 Date Patrick J. Buckley/ Patrick J. Buckley Registration No. 40,928 Buckley, Maschoff & Talwalkar LLC 50 Locust Avenue New Canaan, CT 06840 (203) 972-0191